

# Normality of $X \times \omega_1^*$

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## Abstract

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Let  $\omega_1$  be the space of countable ordinals. We study the normality of  $X \times \omega_1$  under the assumption  $\iota(X) \leq \omega$ . We give internal characterizations of  $X$  whose product  $X \times \omega_1$  with  $\omega_1$  is normal. We also discuss the normality of  $X \times \omega_1$  for various  $X$ —countably paracompact  $\omega_1$ -compact spaces, GO-spaces, Pol's space and Reed's space.

**Keywords:** Beslagic–Rudin space, GO-space, normality, Pol's space, product space, Reed's space,  $\omega_1$ -collectionwise normal,  $\omega_1$ -compact.

**AMS (MOS) Subj. Class.:** 54B10, 54D15, 54F05, 54G20.

## 1. Introduction

Let  $Y$  be a nice topological space. Generally speaking, it is difficult to find necessary and sufficient conditions on  $X$  to guarantee that  $X \times Y$  is normal. For a metric space  $Y$  and a compact space  $Y$  such conditions are given by Rudin and Starbird [9] and Rudin [8] (see also [5]). For a more special space  $Y$ , for example  $Y = \omega_1 + 1$ ,  $X \times Y$  is normal if and only if  $X$  is  $\omega_1$ -paracompact and normal (Kunen, see [5, Corollary 3.7]). In this paper we study the normality of  $X \times \omega_1$ , where  $\omega_1$  is the set of countable ordinals with the usual interval topology. According to Nogura

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[6], if  $X$  is a compact Hausdorff space, then  $X \times \omega_1$  is normal if and only if  $t(X) \leq \omega$ , where  $t(X) = \min\{\kappa: \text{for any subset } A \text{ of } X \text{ and any point } x \in \bar{A}, \text{ there exists } B \subset A \text{ satisfying } |B| \leq \kappa \text{ and } x \in \bar{B}\}$ . So it is natural to consider the normality of  $X \times \omega_1$  under the assumption  $t(X) \leq \omega$ .

Let  $t(X) \leq \omega$ . In Section 2 we give internal characterizations of  $X$  whose product  $X \times \omega_1$  is normal. We also show that the normality of  $X \times \omega_1$  implies the collection-wise normality and the countable paracompactness of  $X$ , but the converse is not true under the assumption  $\diamond^{++}$ . In Sections 3 and 4 we show the normality of  $X \times \omega_1$  when  $X$  is an  $\omega_1$ -compact, countably paracompact, normal space or  $X$  is a GO-space. In Section 5 the normality of products for some special spaces—Pol's space and Reed's space—are discussed.

Though almost all definitions and results are easily extended to higher cardinals, for instance  $t(X) \leq \kappa$  and  $X \times \kappa^+$ , we limit our discussion to the case  $t(X) \leq \omega$  and the other factor space is  $\omega_1$ .

## 2. The internal characterization

Let  $A \subset \omega_1$  and let  $\mathcal{H} = \{H_\alpha : \alpha \in A\}$  be a collection of subsets of a space  $X$ . We say that  $\mathcal{H}$  is *A-continuous* if whenever  $\alpha \in A$  and  $x \in \overline{\bigcup \{H_\delta : \delta \in (\beta, \alpha) \cap A\}}$  for all  $\beta < \alpha$ , then  $x \in H_\alpha$ .

Let  $\{Z_\alpha : \alpha < \omega_1\}$  be a collection of subsets of  $X$ . We say that  $\{Z'_\alpha : \alpha < \omega_1\}$  is the  $\omega_1$ -continuous closure of  $\{Z_\alpha : \alpha < \omega_1\}$  if  $Z'_\alpha = \bigcap \{\bigcup \{Z_\delta : \beta < \delta \leq \alpha\} : \beta < \alpha\}$  for all  $\alpha < \omega_1$ . Note that  $\{Z'_\alpha : \alpha < \omega_1\}$  is an  $\omega_1$ -continuous collection of closed sets.

We say that a collection  $\mathcal{H}$  of subsets of  $X$  is *closed* or *open* if all members of  $\mathcal{H}$  are closed or open, respectively. We say that the collections  $\mathcal{H} = \{H_\alpha : \alpha \in A\}$  and  $\mathcal{K} = \{K_\alpha : \alpha \in A\}$  with the same index set  $A$  are *disjoint* if  $H_\alpha \cap K_\alpha = \emptyset$  for all  $\alpha \in A$ . We say that  $\{U_\alpha : \alpha \in A\}$  is an *expansion* of  $\{H_\alpha : \alpha \in A\}$  if  $H_\alpha \subset U_\alpha$  for all  $\alpha \in A$ .

**Lemma 2.1.** *A collection  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$  is closed  $\omega_1$ -continuous if and only if  $\bigcup \{H_\alpha \times \{\alpha\} : \alpha < \omega_1\}$  is closed in  $X \times \omega_1$ .*

**Proof.** ( $\Rightarrow$ ) Let  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$  be an  $\omega_1$ -continuous collection of closed sets, let  $H = \bigcup \{H_\alpha \times \{\alpha\} : \alpha < \omega_1\}$ , and suppose  $(x, \gamma) \in \bar{H} - H$ . Then for any  $\beta < \gamma$  and a neighborhood  $N$  of  $x$ ,

$$(N \times (\beta, \gamma]) \cap H \neq \emptyset.$$

Hence  $N \cap (\bigcup \{H_\delta : \beta < \delta \leq \gamma\}) \neq \emptyset$ . This shows  $x \in \overline{\bigcup \{H_\delta : \beta < \delta \leq \gamma\}}$ , so  $x \in H_\gamma$ , so  $(x, \gamma) \in H$ .

( $\Leftarrow$ ) Suppose  $H = \bigcup \{H_\alpha \times \{\alpha\} : \alpha < \omega_1\}$  is closed in  $X \times \omega_1$ , and  $x \in \overline{\bigcup \{H_\delta : \beta < \delta \leq \gamma\}}$  for all  $\beta < \gamma$ . If  $x \notin H_\gamma$ , then  $(x, \gamma) \notin H$ , so there exist a neighborhood  $N$  of  $x$  and  $\beta < \gamma$  such that

$$(N \times (\beta, \gamma]) \cap H = \emptyset.$$

But then  $N \cap (\bigcup \{H_\delta : \beta < \delta \leq \gamma\}) = \emptyset$ . This is a contradiction.  $\square$

**Theorem 2.2.** *The following are equivalent:*

- (a)  $X \times \omega_1$  is normal.
- (b) For each pair of disjoint  $\omega_1$ -continuous closed collections  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$  and  $\mathcal{K} = \{K_\alpha : \alpha < \omega_1\}$  there exist disjoint open expansions  $\{U_\alpha : \alpha < \omega_1\}$  and  $\{V_\alpha : \alpha < \omega_1\}$  of  $\mathcal{H}$  and  $\mathcal{K}$ , respectively, such that  $\{X - U_\alpha : \alpha < \omega_1\}$  and  $\{X - V_\alpha : \alpha < \omega_1\}$  are  $\omega_1$ -continuous.
- (c) For each pair of disjoint  $\omega_1$ -continuous closed collections  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$  and  $\mathcal{K} = \{K_\alpha : \alpha < \omega_1\}$  there exists an  $\omega_1$ -continuous closed expansion  $\mathcal{H}' = \{H'_\alpha : \alpha < \omega_1\}$  of  $\mathcal{H}$  disjoint from  $\mathcal{K}$  such that  $H_\alpha \subset \text{int } H'_\alpha$  for all  $\alpha < \omega_1$ .
- (d) For each pair of disjoint  $\omega_1$ -continuous closed collections  $\mathcal{H}$  and  $\mathcal{K}$  there exists an open expansion  $\{U_\alpha : \alpha < \omega_1\}$  of  $\mathcal{H}$  whose  $\omega_1$ -continuous closure is disjoint from  $\mathcal{K}$ .

**Proof.** The equivalence (a) $\Leftrightarrow$ (b) is easy, because the conditions on  $\{U_\alpha : \alpha < \omega_1\}$  and  $\{V_\alpha : \alpha < \omega_1\}$  are equivalent, by Lemma 2.1, to the assertion that  $\bigcup \{U_\alpha \times \{\alpha\} : \alpha < \omega_1\}$  and  $\bigcup \{V_\alpha \times \{\alpha\} : \alpha < \omega_1\}$  are open in  $X \times \omega_1$ . Clearly (b) $\Rightarrow$ (c), and it is easy to see (c) $\Leftrightarrow$ (d). To show (c) $\Rightarrow$ (a), we show the following claim:

**Claim.** *Suppose that the  $\omega_1$ -continuous closed collections  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$  and  $\mathcal{K} = \{K_\alpha : \alpha < \omega_1\}$  have disjoint  $\omega_1$ -continuous closed expansions  $\mathcal{H}' = \{H'_\alpha : \alpha < \omega_1\}$  and  $\mathcal{K}' = \{K'_\alpha : \alpha < \omega_1\}$  with  $H_\alpha \subset \text{int } H'_\alpha$  and  $K_\alpha \subset \text{int } K'_\alpha$  for all  $\alpha < \omega_1$ ; then  $H = \bigcup \{H_\alpha \times \{\alpha\} : \alpha < \omega_1\}$  and  $K = \bigcup \{K_\alpha \times \{\alpha\} : \alpha < \omega_1\}$  can be separated by disjoint open sets in  $X \times \omega_1$ .*

**Proof of Claim.** Let  $x \in H_\alpha$ . Since  $x \notin K'_\alpha$ , there exists  $\beta(x, \alpha) < \alpha$  such that  $x \notin \bigcup \{K'_\delta : \beta(x, \alpha) < \delta \leq \alpha\}$ . Put  $K(x, \alpha) = \bigcup \{K'_\delta : \beta(x, \alpha) < \delta \leq \alpha\}$ . Note that  $K(x, \alpha)$  is closed. Let  $N(x, \alpha) = (\text{int } H'_\alpha) \cap (X - K(x, \alpha))$ , and let

$$U = \bigcup \{ \bigcup \{N(x, \alpha) \times (\beta(x, \alpha), \alpha] : x \in H_\alpha\} : \alpha < \omega_1 \}.$$

For  $x \in K_\alpha$ , analogously define  $\gamma(x, \alpha) < \alpha$ ,  $H(x, \alpha)$ ,  $M(x, \alpha)$  and let

$$V = \bigcup \{ \bigcup \{M(x, \alpha) \times (\gamma(x, \alpha), \alpha] : x \in K_\alpha\} : \alpha < \omega_1 \}.$$

Then  $H \subset U$ ,  $K \subset V$ , and  $U$  and  $V$  are open.

**Subclaim.**  $U \cap V = \emptyset$ .

Suppose  $U \cap V \neq \emptyset$ , then there exist  $x \in H_\alpha$ ,  $y \in K_\delta$  such that

$$(N(x, \alpha) \times (\beta(x, \alpha), \alpha]) \cap (M(y, \delta) \times (\gamma(y, \delta), \delta]) \neq \emptyset.$$

Without loss of generality we can assume  $\alpha \leq \delta$ . Since  $N(x, \alpha) \subset H'_\alpha$  and  $M(y, \delta) \subset K'_\delta$ ,  $\alpha \neq \delta$ . Hence  $\alpha < \delta$ . This implies that  $H'_\alpha \subset H(y, \delta)$  (note that  $\gamma(y, \delta) < \alpha$ ), hence  $M(y, \delta) \subset X - H'_\alpha$ . But then  $M(y, \delta) \cap N(x, \alpha) = \emptyset$ , a contradiction, which proves the subclaim, hence the claim.

From the claim, it follows that (c) $\Rightarrow$ (a): first use (c) to get an expansion  $\mathcal{H}'$  of  $\mathcal{H}$  disjoint from  $\mathcal{K}$ , then again use (c) to get an expansion  $\mathcal{K}'$  of  $\mathcal{K}$  disjoint from  $\mathcal{H}'$ , then apply the claim.  $\square$

Since  $X \times \omega + 1$  is a closed subspace of  $X \times \omega_1$ , the normality of  $X \times \omega_1$  implies the countable paracompactness of  $X$ . But we will show directly that, by using Theorem 2.2, the normality of  $X \times \omega_1$  implies the countable paracompactness and the  $\omega_1$ -collectionwise normality of  $X$ .

Originally, we had assumed  $t(X) \leq \omega$  in Theorem 2.3. The referee pointed out that the more general result is implicit in Starbird's thesis [12], whereupon we saw how to remove this assumption.

**Theorem 2.3.** *If  $X \times \omega_1$  is normal, then  $X$  is countably paracompact and  $\omega_1$ -collectionwise normal.*

**Proof.** Since  $X \times (\omega + 1)$  is a closed subspace of  $X \times \omega_1$ , the normality of  $X \times \omega_1$  implies the countable paracompactness of  $X$ . But we will show this fact directly by using the equivalence (a) and (c) in Theorem 2.2.

*Countable paracompactness.* Suppose that  $X$  is not countably paracompact. Then there exists a decreasing sequence  $\{H_n : n \in \omega\}$  of closed sets such that  $\bigcap \{H_n : n < \omega\} = \emptyset$ , but if  $U_n$  is open with  $H_n \subset U_n$ , then  $\bigcap \{\bar{U}_n : n < \omega\} \neq \emptyset$ .

Define

$$H_\alpha = \begin{cases} H_n, & \text{if } \alpha = n, \\ \emptyset, & \text{if } \alpha \geq \omega, \end{cases} \quad K_\alpha = \begin{cases} \emptyset, & \text{if } \alpha = n \text{ or } \alpha > \omega, \\ X, & \text{if } \alpha = \omega. \end{cases}$$

Then  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$  and  $\mathcal{K} = \{K_\alpha : \alpha < \omega_1\}$  are disjoint  $\omega_1$ -continuous closed collections. If  $\mathcal{H}'$  is an  $\omega_1$ -continuous closed expansion of  $\mathcal{H}$  with  $H_n \subset \text{int } H'_n$  for all  $n \in \omega$ , then there exists  $x \in \bigcap \{H'_n : n < \omega\}$ , so  $x \in H'_\omega$ . But  $K_\omega = X$ , so  $\mathcal{H}'$  is not disjoint from  $\mathcal{K}$ , a contradiction.

*$\omega_1$ -collectionwise normality.* Suppose  $\mathcal{L}$  is a discrete collection of closed sets which cannot be separated, and  $|\mathcal{L}| \leq \omega_1$ .

Define  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$  so that  $H_\alpha = \emptyset$  if  $\alpha$  is a limit ordinal, and

$$\{H_\alpha : \alpha \text{ is an isolated ordinal}\} = \mathcal{L}.$$

Let

$$K_\alpha = \begin{cases} X, & \text{if } \alpha \text{ is a limit ordinal,} \\ \emptyset, & \text{otherwise.} \end{cases}$$

Then  $\mathcal{H}$  and  $\mathcal{K}$  are disjoint  $\omega_1$ -continuous closed collections. Suppose  $\mathcal{H}'$  is an  $\omega_1$ -continuous closed expansion of  $\mathcal{H}$  disjoint from  $\mathcal{K}$ , and  $H_\alpha \subset \text{int } H'_\alpha$  for all  $\alpha < \omega_1$ . Since  $X$  is normal, we can choose an open set  $O_\alpha$  with  $H_\alpha \subset O_\alpha \subset H'_\alpha$  and  $\bar{O}_\alpha \cap (\bigcup \{H_\beta : \beta \neq \alpha\}) = \emptyset$ . Let  $U_\alpha = O_\alpha - \bigcup \{O_\beta : \beta < \alpha\}$ . The  $U_\alpha$ 's are disjoint, so for some  $\alpha$ ,  $H_\alpha \not\subset \text{int } U_\alpha$ . Hence there exists  $x \in H_\alpha$  such that every neighborhood of  $x$  meets infinitely many  $O_\beta$ 's,  $\beta < \alpha$ . Let  $\gamma_0$  be the least ordinal  $\gamma$  such that every neighborhood of  $x$  meets infinitely many members of  $\{O_\beta : \beta < \gamma\}$ . Then  $x \in \bigcup \{H'_\delta : \beta < \delta \leq \gamma_0\}$  for all  $\beta < \gamma_0$ , so  $x \in H'_{\gamma_0}$ . Note that  $\gamma_0$  must be a limit ordinal. But this means  $\mathcal{H}'$  is not disjoint from  $\mathcal{K}$ .  $\square$

**Theorem 2.4.** *Let  $t(X) \leq \omega$ . Then the following are equivalent:*

- (a)  $X \times \omega_1$  is normal.
- (e)  $X$  is countably paracompact and  $\omega_1$ -collectionwise normal, and for each pair of disjoint  $\omega_1$ -continuous closed collections  $\mathcal{H}$  and  $\mathcal{K}$  there exists a closed unbounded set  $C$  of  $\omega_1$  and an  $C$ -continuous closed collection  $\{H'_\alpha : \alpha \in C\}$  disjoint from  $\mathcal{K}$  with  $H_\alpha \subset \text{int } H'_\alpha$  for all  $\alpha \in C$ .
- (f)  $X$  is countably paracompact,  $\omega_1$ -collectionwise normal, and for each pair of disjoint  $\omega_1$ -continuous closed collections  $\mathcal{H}$  and  $\mathcal{K}$  there exists a closed unbounded set  $C$  of  $\omega_1$  and open sets  $U_\alpha \supset H_\alpha$  for all  $\alpha \in C$  such that the  $C$ -continuous closure of  $\{U_\alpha : \alpha \in C\}$  is disjoint from  $\mathcal{K}$ .

**Proof.** The equivalence of (e) and (f) is easy, and (c) in Theorem 2.2 implies (e) in Theorem 2.4. We will prove that (e) implies (d) in Theorem 2.2.

Let  $\mathcal{H}$  and  $\mathcal{K}$  be disjoint  $\omega_1$ -continuous closed collections. Use (e) twice to obtain a closed unbounded set  $C$  of  $\omega_1$  and disjoint  $C$ -continuous closed collections  $\{H'_\alpha : \alpha \in C\}$  and  $\{K'_\alpha : \alpha \in C\}$  with  $H_\alpha \subset \text{int } H'_\alpha$  and  $K_\alpha \subset \text{int } K'_\alpha$  for every  $\alpha \in C$ . Since  $C$  is homeomorphic to  $\omega_1$ , by the claim in the proof of Theorem 2.2 there exist disjoint relatively open sets  $U, V$  in  $X \times C$  containing  $\bigcup \{H_\alpha \times \{\alpha\} : \alpha \in C\}$  and  $\bigcup \{K_\alpha \times \{\alpha\} : \alpha \in C\}$ , respectively. Let  $U_\alpha = U \cap (X \times \{\alpha\})$ ,  $V_\alpha = V \cap (X \times \{\alpha\})$ ,  $\alpha \in C$ . Then if  $x \in U_\alpha$  (respectively,  $V_\alpha$ ), there exist a neighborhood  $N$  of  $x$  and  $\beta < \alpha$  such that  $N \subset U_\delta$  (respectively,  $V_\delta$ ) for every  $\delta \in C \cap (\beta, \alpha]$ . Without loss of generality we assume  $0 \in C$ . For any  $\alpha \in C$ , let  $\alpha' = \inf(C - (\alpha + 1))$ , and let

$$L_\alpha = \bigcup \{H_\delta : \alpha < \delta < \alpha'\} - U_{\alpha'}.$$

**Claim.**  $\{L_\alpha : \alpha \in C\}$  is a locally finite closed collection.

**Proof.** Since  $L_\alpha = (\bigcup \{H_\delta : \alpha < \delta \leq \alpha'\}) - U_{\alpha'}$ ,  $L_\alpha$  is closed. Suppose  $\{L_\alpha : \alpha \in C\}$  is not locally finite at  $x$ . Let  $\gamma \in C$  be the least ordinal number such that  $x \in \overline{\bigcup \{L_\alpha : \alpha < \gamma\}}$ . Then for any  $\beta < \gamma$ ,  $x \in \overline{\bigcup \{L_\alpha : \beta < \alpha < \gamma\}}$ , so  $x \in H_\gamma$ . Hence  $x \in U_\gamma$ . Then there exist a neighborhood  $N$  of  $x$  and  $\beta < \gamma$  such that  $N \subset U_\delta$  for every  $\delta \in C \cap (\beta, \gamma]$ . Hence  $N \cap L_\delta = \emptyset$  for any  $\delta$  with  $\beta < \delta < \gamma$ , which contradicts the minimality of  $\gamma$ . This proves the claim.

Since  $X$  is countably paracompact and  $\omega_1$ -collectionwise normal there exists a locally finite open expansion  $\{O_\alpha : \alpha \in C\}$  of  $\{L_\alpha : \alpha \in C\}$ . Let  $L_\alpha \subset O'_\alpha \subset \bar{O}'_\alpha \subset O_\alpha$ . For each  $\alpha \in C$ , by countable paracompactness again, there exist open sets  $S_\delta \supset H_\delta$  and  $T_\delta \supset K_\delta$ ,  $\alpha < \delta \leq \alpha'$ , such that  $S_\delta \cap T_\delta = \emptyset$  for all such  $\delta$ , and such that  $\{S_\delta : \alpha < \delta \leq \alpha'\}$  and  $\{T_\delta : \alpha < \delta \leq \alpha'\}$  have disjoint  $((\alpha' + 1) - \alpha)$ -continuous closures.

For  $\alpha < \delta < \alpha'$ , let

$$U_\delta = (S_\delta \cap O_\alpha) \cup [S_\delta \cap (U_{\alpha'} - \bar{O}_{\alpha'})].$$

Then  $H_\delta \subset U_\delta$ , because if  $x \in H_\delta$  but  $x \notin O_\alpha$ , then  $x \in U_{\alpha'}$ . If  $\delta \in C$ ,  $U_\delta$  is already defined. We claim that the  $\omega_1$ -continuous closure of  $\{U_\delta : \delta < \omega_1\}$  is disjoint from  $\mathcal{K}$ . Suppose not. Then for some  $\alpha < \omega_1$  there exists  $x \in K_\alpha$  such that for any  $\beta < \alpha$ ,

$x \in \overline{\bigcup \{U_\delta : \beta < \delta < \alpha\}}$ . Let  $\alpha_0$  be the least such  $\alpha$ . Since  $U_\delta \subset S_\delta$  for  $\delta \notin C$ , clearly  $\alpha_0 \in C$ ; in fact,  $\alpha_0$  is a limit point of  $C$ . There exists a neighborhood  $N$  of  $x$  such that  $|\{\delta \in C \cap \alpha_0 : N \cap O_\delta \neq \emptyset\}| < \omega$ . Choose  $\beta \in C \cap \alpha_0$  such that  $N \cap O_\beta = \emptyset$  if  $\beta \leq \delta < \alpha_0$ . There exist a neighborhood  $N' \subset N$  of  $x$  and  $\beta' \in C \cap \alpha_0$  such that  $N' \subset V_\delta$  if  $\beta' \leq \delta < \alpha_0$  and  $\delta \in C$ . Let  $\beta'' = \max\{\beta, \beta'\}$ . If  $\beta'' < \delta < \alpha_0$  and  $\delta \in C$ , then  $N' \subset V_\delta$ , so  $N' \cap U_\delta = \emptyset$ . If  $\delta \notin C$ , let  $\gamma = \max(C \cap \delta)$ , then  $\gamma \geq \beta'' \geq \beta, \beta'$ , so  $N' \cap O_\gamma = \emptyset$  and  $N' \cap U_\gamma = \emptyset$ . Since

$$N' \cap U_\delta = N' \cap \{(S_\delta \cap O_\gamma) \cup [S_\delta \cap (U_\gamma - \bar{O}_\gamma)]\} = \emptyset,$$

$N' \cap (\bigcup \{U_\delta : \beta'' < \delta < \alpha_0\}) = \emptyset$ . This is a contradiction.  $\square$

Assuming  $\diamond^{++}$  Beslagic and Rudin [1] construct a space  $\Delta$  which is collectionwise normal, and every increasing open cover of  $\Delta$  has a closed shrinking, but there is an open cover having no closed shrinking. We will show that their space  $\Delta$  gives an example showing that the converse of Theorem 2.3 does not hold. We use notation from [1] freely, to which the reader is referred.

**Example 2.5.** Let  $\Delta$  be the space constructed by Beslagic and Rudin assuming  $\diamond^{++}$ . Let  $\kappa$  in their notation be  $\omega_1$ . Then  $\Delta$  is first countable and  $\Delta \times \omega_1$  is not normal.

**Proof.** Recall that  $\Delta = \{(\alpha, \beta) \in \omega_1^2 : \beta < \alpha\}$ . Let

$$H_\alpha = \{(\beta, \gamma) \in \Delta : \beta \leq \alpha\} \quad (= \Delta_{\alpha+1} \text{ in their notation}),$$

$$K_\alpha = \{(\beta, \gamma) \in \Delta : \gamma \geq \alpha\}.$$

Then  $\{H_\alpha : \alpha < \omega_1\}$  is  $\omega_1$ -continuous since  $H_\alpha \subset H_\beta$  for any  $\alpha < \beta$ , and  $\{K_\alpha : \alpha < \omega_1\}$  is  $\omega_1$ -continuous since  $K_\alpha = \bigcap \{K_\beta : \beta < \alpha\}$  and  $K_\beta \supset K_\alpha$  whenever  $\beta < \alpha$ . If  $A \subset \omega_1$ , let  $A_0 = \{(\alpha, 0) : \alpha \in A\}$ . The following Claim 1 is immediate from Lemma 2 in [1].

**Claim 1.** If  $A \subset \omega_1$  is stationary, then  $\bar{A}_0 \cap K_\alpha \neq \emptyset$  for every  $\alpha < \omega_1$ .

**Claim 2.** If  $B \subset \omega_1$  is closed unbounded, then there exist  $\alpha$  and a sequence  $\langle \alpha_n \rangle_{n \in \omega}$  in  $B$  converging to  $\alpha$  such that the sequence  $\langle (\alpha_n, 0) \rangle_{n \in \omega}$  converges to  $(\alpha, 0)$ .

**Proof of Claim 2.** Choose  $\alpha \in B$  such that  $B \cap \alpha \in \mathcal{C}_\alpha$  and  $\alpha \in D$  (i.e.,  $\mathcal{C}_\alpha$  is closed under finite intersection; note that  $\mathcal{C}_\alpha$  and  $D$  are from [1]). The sequence  $\langle \gamma_n \rangle_{n \in \omega}$  chosen in [1, see bottom two lines of p. 169] is eventually in  $B \cap \alpha$ . Now it is evident from the definition of  $U_n(\alpha, 0)$  on [1, p. 170] that some subsequence of  $(\alpha_n, 0)$  converges to  $(\alpha, 0)$ . The proof of Claim 2 is finished.

Let  $U_\alpha$  be an open set with  $K_\alpha \subset U_\alpha$  for every  $\alpha < \omega_1$ . By Claim 1,  $C_0^\alpha \subset U_\alpha$  for some closed unbounded set  $C^\alpha \subset \omega_1$ . Let  $C = \Delta_{\alpha < \omega_1} C^\alpha$ . Choose  $\alpha \in C$  and a sequence  $\langle \alpha_n \rangle_{n \in \omega}$  in  $C$  converging to  $\alpha$  in Claim 2. Then  $\alpha_{n+1} \in C^{\alpha_n}$ , so  $(\alpha_{n+1}, 0) \in U_{\alpha_n}$  for every  $n \in \omega$ , hence  $(\alpha, 0) \in \overline{\bigcup \{U_\delta : \beta < \delta < \alpha\}}$  for every  $\beta < \alpha$ . Since  $(\alpha, 0) \in H_\alpha$ , it follows that  $\{H_\alpha : \alpha < \omega_1\}$  and  $\{K_\alpha : \alpha < \omega_1\}$  cannot be separated.  $\square$

### 3. $\omega_1$ -compact spaces

In [4] Kombarov proved that if  $X$  is paracompact,  $t(X) \leq \omega$  and  $Y$  is  $\omega$ -bounded (a space is said to be  $\omega$ -bounded if the closure of every countable subset is compact), then  $X \times Y$  is normal. The careful analysis of his proof shows that if  $X$  is  $\omega_1$ -paracompact and  $t(X) \leq \omega$ , then  $X \times \omega_1$  is normal. We will give another condition on  $X$  sufficient to make  $X \times \omega_1$  normal.

**Lemma 3.1.** *Let  $X$  be  $\omega_1$ -compact and  $t(X) \leq \omega$ . Let  $\mathcal{H} = \{H_\alpha : \alpha \in \omega_1\}$  and  $\mathcal{K} = \{K_\alpha : \alpha \in \omega_1\}$  be disjoint  $\omega_1$ -continuous collections. Then there exists an  $\alpha < \omega_1$  such that  $\bigcup \{H_\beta : \beta > \alpha\}$  and  $\bigcup \{K_\beta : \beta > \alpha\}$  are disjoint.*

**Proof.** Let  $H^\alpha = \bigcup \{H_\beta : \beta > \alpha\}$  and  $K^\alpha = \bigcup \{K_\beta : \beta > \alpha\}$ . Suppose  $H^\alpha \cap K^\alpha \neq \emptyset$  for all  $\alpha < \omega_1$ . We first show the following claim.

**Claim.** *For each  $x \in X$  there exists an  $\alpha < \omega_1$  such that  $x \notin H^\alpha \cap K^\alpha$ .*

**Proof of Claim.** Assume the contrary. Choose sequences of countable ordinals  $\langle \alpha_n \rangle_{n \in \omega}$ ,  $\langle \beta_n \rangle_{n \in \omega}$  such that

$$\alpha_1 < \beta_1 < \alpha_2 < \beta_2 < \cdots < \alpha_n < \beta_n < \cdots,$$

$x \in H_{\alpha_n}$ , and  $x \in K_{\beta_n}$  for all  $n \in \omega$ .

Let  $\alpha = \sup \alpha_n = \sup \beta_n$ . Since  $\mathcal{H}$  and  $\mathcal{K}$  are  $\omega_1$ -continuous,  $x \in H_\alpha \cap K_\alpha$ . This is a contradiction.

Using the above claim it is easy to obtain a sequence  $\langle x_\beta \rangle_{\beta \in \omega_1}$  of distinct points of  $X$  and sequences  $\langle \alpha_\beta \rangle_{\beta \in \omega_1}$ ,  $\langle \alpha'_\beta \rangle_{\beta \in \omega_1}$ ,  $\langle \alpha''_\beta \rangle_{\beta \in \omega_1}$  of countable ordinals such that for each  $\beta < \omega_1$ :

- (1)  $\alpha_\gamma \leq \alpha'_\gamma < \alpha_\beta$ ,  $\alpha_\gamma \leq \alpha''_\gamma < \alpha_\beta$  for  $\gamma < \beta$ ,
- (2)  $\{x_\gamma : \gamma < \beta\} \cap H^{\alpha_\beta} \cap K^{\alpha_\beta} = \emptyset$ ,
- (3)  $x_\beta \in H^{\alpha_\beta} \cap K^{\alpha_\beta}$ ,  $x_\beta \in H_{\alpha'_\beta}$ , and  $x_\beta \in K_{\alpha''_\beta}$ .

Note by (3),  $\{x_\gamma : \gamma \geq \beta\} \subset H^{\alpha_\beta} \cap K^{\alpha_\beta}$ . Since  $X$  is  $\omega_1$ -compact, there exists an accumulation point  $x$  of  $\langle x_\beta \rangle_{\beta \in \omega_1}$ . Let  $\gamma$  be the least ordinal number such that  $x \in \overline{\{x_\beta : \beta < \gamma\}}$ . Such a  $\gamma$  exists because  $t(X) \leq \omega$ . Let  $\delta = \sup \{\alpha_\beta : \beta < \gamma\}$ . Then  $\delta = \sup \{\alpha'_\beta : \beta < \gamma\} = \sup \{\alpha''_\beta : \beta < \gamma\}$  by (1). Since  $x_\beta \in H_{\alpha'_\beta}$  and  $x_\beta \in K_{\alpha''_\beta}$  for every  $\beta < \gamma$ ,  $x \in H_\delta \cap K_\delta$  by the  $\omega_1$ -continuity of the collections  $\mathcal{H}$  and  $\mathcal{K}$ . This is a contradiction.  $\square$

It is easy to prove the following lemma, so we leave it to the reader.

**Lemma 3.2.** *Let  $\alpha < \omega_1$ . Let  $X$  be a normal, countably paracompact space. Let  $\mathcal{H} = \{H_\beta : \beta < \alpha\}$  and  $\mathcal{K} = \{K_\beta : \beta < \alpha\}$  be disjoint  $\alpha$ -continuous closed collections. Then  $\mathcal{H}$  and  $\mathcal{K}$  have disjoint open expansions  $\{U_\beta : \beta < \alpha\}$  and  $\{V_\beta : \beta < \alpha\}$ , respectively such that  $\{X - U_\beta : \beta < \alpha\}$  and  $\{X - V_\beta : \beta < \alpha\}$  are  $\alpha$ -continuous.*

**Theorem 3.3.** *Let  $X$  be a normal, countably paracompact,  $\omega_1$ -compact space with  $t(X) \leq \omega$ . Then  $X \times \omega_1$  is normal.*

**Proof.** Let  $\mathcal{H} = \{H_\alpha : \alpha < \omega_1\}$ ,  $\mathcal{K} = \{K_\alpha : \alpha < \omega_1\}$  be disjoint  $\omega_1$ -continuous closed collections. By Lemma 3.1 there exists  $\alpha < \omega_1$  such that  $\bigcup \{H_\beta : \beta > \alpha\}$  and  $\bigcup \{K_\beta : \beta > \alpha\}$  are disjoint. Let  $U$  and  $V$  be disjoint open sets such that  $\bigcup \{H_\beta : \beta > \alpha\} \subset U$  and  $\bigcup \{K_\beta : \beta > \alpha\} \subset V$ . Let  $U_\beta = U$ ,  $V_\beta = V$  for  $\beta > \alpha$ . By Lemma 3.2 disjoint  $(\alpha + 1)$ -continuous closed collections  $\{H_\beta : \beta < \alpha + 1\}$  and  $\{K_\beta : \beta < \alpha + 1\}$  have disjoint open expansions  $\{U_\beta : \beta < \alpha + 1\}$  and  $\{V_\beta : \beta < \alpha + 1\}$  such that  $\{X - U_\beta : \beta < \alpha + 1\}$  and  $\{X - V_\beta : \beta < \alpha + 1\}$  are  $(\alpha + 1)$ -continuous, respectively. Then clearly  $\{U_\beta : \beta < \omega_1\}$  and  $\{V_\beta : \beta < \omega_1\}$  are disjoint open expansions of  $\mathcal{H}$  and  $\mathcal{K}$  such that  $\{X - U_\beta : \beta < \omega_1\}$  and  $\{X - V_\beta : \beta < \omega_1\}$  are  $\omega_1$ -continuous, respectively.  $\square$

As is mentioned in the introduction, if  $X$  is compact Hausdorff, then  $X \times \omega_1$  is normal if and only if  $t(X) \leq \omega$  [6]. Chiba [2] gave an example that shows that compactness in this theorem cannot be replaced by paracompactness. The following example shows that it also cannot be replaced by countable compactness (even  $\omega$ -boundedness).

**Example 3.4.** Let  $X = \{\alpha \in \omega_2 + 1 : \text{the cofinality of } \alpha \text{ is not } \omega_1\}$ . Then  $X$  is a normal, countably paracompact,  $\omega$ -bounded space with  $t(X) = \omega_2$ .

The proof of the normality of  $X \times \omega_1$  is easy, so we leave it to the reader. (Hint: Let  $H$  and  $K$  be disjoint closed subsets of  $X \times \omega_1$ . Let  $\tilde{H}$  and  $\tilde{K}$  be the closure of  $H$  and  $K$  in the compact space  $(\omega_2 + 1) \times (\omega_1 + 1)$ , respectively. Show that if  $\tilde{H} \cap \tilde{K} \neq \emptyset$ , then  $H \cap K \neq \emptyset$ .)

#### 4. GO-spaces

Let  $X$  be a GO-space and  $u$  a *right gap* in  $X$ , i.e.,  $u$  is a convex, clopen, initial segment of  $X$  with no maximum. Then we say  $u$  is a *right Q-gap* [3] if there is a closed discrete set cofinal in  $u$ , i.e., there is no cofinal transfinite sequence in  $u$  isomorphic to a stationary subset of a regular, uncountable cardinal. A left gap and left Q-gap are defined similarly.

For a space  $X$ ,  $A \subset X \times \omega_1$  and  $U \subset X$ , let  $A_U = A \cap (U \times \omega_1)$ . For a GO-space  $Y$  and a point  $p \in Y$ , define  $[p, \rightarrow) = \{y \in Y : y \geq p\}$ . Define  $(p, \rightarrow)$ ,  $(\leftarrow, p]$  and  $(\leftarrow, p)$  similarly.

**Lemma 4.1.** *Let  $Y = A \cup B$  where  $A$  and  $B$  are closed in  $Y$ . If  $H$  and  $K$  are disjoint closed subsets of  $Y$  such that  $\{H \cap A, K \cap A\}$  and  $\{H \cap B, K \cap B\}$  have disjoint open expansions in  $A$  and  $B$  respectively, then  $\{H, K\}$  has a disjoint open expansion in  $Y$ .*



**Proof.** By the hypothesis, there exist open sets  $U_A, U_B, V_A$  and  $V_B$  such that  $H \cap A \subset U_A$ ,  $H \cap B \subset U_B$ ,  $K \cap A \subset V_A$ ,  $K \cap B \subset V_B$ ,  $U_A \cap V_A \cap A = \emptyset$  and  $U_B \cap V_B \cap B = \emptyset$ . Then

$$U = (U_A - B) \cup (U_B - A) \cup (U_A \cap U_B),$$

$$V = (V_A - B) \cup (V_B - A) \cup (V_A \cap V_B)$$

are disjoint open sets containing  $H$  and  $K$ , respectively.  $\square$

**Lemma 4.2.** *Let  $X$  be a first countable GO-space with the first point  $y$ , and let  $H$  and  $K$  be disjoint closed subsets of  $X \times \omega_1$ . If  $\{H_{[y,z]}, K_{[y,z]}\}$  has a disjoint open expansion in  $[y, z] \times \omega_1$  for all  $z \in X$ , then  $\{H, K\}$  has a disjoint open expansion in  $X \times \omega_1$ .*

**Proof.** Assume  $X$  has a right end gap  $u$  since otherwise the proof is trivial.

*Case 1.*  $u$  is a  $Q$ -gap. If there is a connected set  $C$  cofinal in  $X$ , let  $z \in C$ . Then  $[z, \rightarrow)$  is Lindelöf, and by the assumption  $\{H_{[y,z]}, K_{[y,z]}\}$  has a disjoint open expansion in  $[y, z] \times \omega_1$ . By Theorem 3.3  $[z, \rightarrow) \times \omega_1$  is normal. (Note that every Lindelöf space is  $\omega_1$ -compact.) So  $\{H, K\}$  has a disjoint open expansion. If no connected set is cofinal in  $X$ , then  $X = \bigcup \mathcal{D}$ , where  $\mathcal{D}$  is a pairwise disjoint collection of convex clopen sets none of which is cofinal in  $X$ . By the assumption  $\{H_D, K_D\}$  has a disjoint open expansion in  $D \times \omega_1$  for all  $D \in \mathcal{D}$ . Hence  $\{H, K\}$  has a disjoint open expansion in  $X \times \omega_1$ .

*Case 2.*  $u$  is a non- $Q$ -gap. Hence there is a copy of a stationary set cofinal in  $X$ .

Let  $r$  be the right end gap of  $\omega_1$ . At most one of  $H$  and  $K$  has “ $\langle u, r \rangle$  in its closure”. That is, if  $H$  has nonempty intersection with each  $(p, \rightarrow) \times (\alpha, \rightarrow)$ , then  $K$  does not. To see this consider two subcases.

*Subcase 1.*  $X$  has cofinality  $\omega_1$ . Let  $\{p_\alpha : \alpha < \omega_1\}$  be a cofinal sequence in  $X$  isomorphic to a stationary subset of  $\omega_1$ , and suppose  $(p_\alpha, \rightarrow) \times (\alpha, \rightarrow)$  has non-empty intersection with both  $H$  and  $K$  for each  $\alpha < \omega_1$ . Choose

$$\langle a_\alpha, \alpha' \rangle \in H \cap (p_\alpha, \rightarrow) \times (\alpha, \rightarrow),$$

$$\langle b_\alpha, \alpha'' \rangle \in K \cap (p_\alpha, \rightarrow) \times (\alpha, \rightarrow),$$

for each  $\alpha < \omega_1$  such that  $\alpha' < \alpha'' < (\alpha + 1)'$  and  $a_\alpha < b_\alpha < a_{\alpha+1}$ . Since  $\{p_\alpha : \alpha < \omega_1\}$  is stationary, there exist limit ordinals  $\alpha_1$  and  $\beta < \omega_1$  such that  $\langle a_\alpha \rangle_{\alpha < \beta}$  and  $\langle b_\alpha \rangle_{\alpha < \beta}$  converge to  $p_{\alpha_1}$ . Let  $\alpha_0 = \sup\{\alpha' : \alpha < \beta\}$ . Since  $H$  and  $K$  are closed,  $\langle p_{\alpha_1}, \alpha_0 \rangle \in H \cap K$ . This is a contradiction.

*Subcase 2.*  $X$  has cofinality  $\lambda > \omega_1$ . Let

$$H' = \{\alpha < \omega_1 : H \cap (X \times \{\alpha\}) \text{ is cofinal in } X \times \{\alpha\}\},$$

$$K' = \{\alpha < \omega_1 : K \cap (X \times \{\alpha\}) \text{ is cofinal in } X \times \{\alpha\}\}.$$

Clearly  $H' \cap K' = \emptyset$ . We first show that  $H'$  and  $K'$  are closed. Let  $\alpha \in \bar{H}'$ , and let  $\langle \alpha_n \rangle_{n < \omega}$  be a sequence in  $H'$  converging to  $\alpha$ . For each  $n$  let  $H_n = \{p \in X : \langle p, \alpha_n \rangle \in H\}$ . Then  $H_n$  is a closed unbounded subset of  $X$ . Hence  $\bigcap \{H_n : n < \omega\}$  is closed unbounded in  $X$ . So  $\langle p, \alpha \rangle \in H$  for all  $p \in \bigcap \{H_n : n < \omega\}$ , i.e.  $\alpha \in H'$ . Thus  $H'$  is

closed.  $K'$  is similarly shown to be closed. Therefore there exists  $\beta < \omega_1$  such that either  $H'$  or  $K'$  is contained in  $[0, \beta]$ , say  $K'$ . Since  $\lambda$  is a regular ordinal greater than  $\omega_1$ , there exists  $q \in X$  greater than all  $p$ , where  $\langle p, \alpha \rangle \in K - K' \times [0, \beta]$  for some  $\alpha < \omega_1$ . So  $(q, \rightarrow) \times (\beta, \rightarrow)$  misses  $K$ . In either subcase there exist  $p \in X$  and  $\alpha < \omega_1$  such that  $[p, \rightarrow) \times [\alpha, \rightarrow)$  is disjoint from either  $H$  or  $K$ . Since  $[0, \alpha]$  is compact metric,  $X \times [0, \alpha]$  is normal. By the assumption  $H_{[y, p]}$  and  $K_{[y, p]}$  can be separated in  $[y, p] \times \omega_1$ . Hence by Lemma 4.1 since  $(X \times [0, \alpha]) \cup ([y, p] \times \omega_1) = X \times \omega_1 - ((p, \rightarrow) \times (\alpha, \rightarrow))$ ,  $\{H, K\}$  has a disjoint open expansion in  $X \times \omega_1$ .  $\square$

**Theorem 4.3.** *Let  $X$  be a GO-space with  $t(X) \leq \omega$ . Then  $X \times \omega_1$  is normal.*

**Proof.** Note that if  $X$  is a GO-space with  $t(X) \leq \omega$ , then  $X$  is first countable. Let  $x \in X$ , and let  $H$  and  $K$  be disjoint closed subsets of  $X \times \omega_1$ . On each convex subset  $V$  of  $X$  containing  $x$  consider the following property (\*):

$$\begin{aligned} \{H_{[p, q]}, K_{[p, q]}\} \text{ has a disjoint open expansion in } [p, q] \times \omega_1 \\ \text{for all } p \leq q \text{ in } V. \end{aligned} \quad (*)$$

Let  $\mathcal{U}_x = \{[a, b] \subset X : x \in [a, b], \{H_{[a, b]}, K_{[a, b]}\} \text{ has a disjoint open expansion in } [a, b] \times \omega_1\}$ , and let  $U_x = \bigcup \mathcal{U}_x$ . For  $p \leq q$ ,  $p, q \in U_x$ , there exist  $I, J \in \mathcal{U}_x$  such that  $p \in I$  and  $q \in J$ . Since  $x \in I \cap J$ ,  $I \cup J \in \mathcal{U}_x$  by Lemma 4.1. Hence since the closed set  $[p, q] \subset I \cup J$ ,  $\{H_{[p, q]}, K_{[p, q]}\}$  has a disjoint open expansion in  $[p, q] \times \omega_1$ , i.e.  $U_x$  satisfies (\*). Clearly since  $x \in \bigcap \mathcal{U}_x$ ,  $U_x$  is the largest convex subset of  $X$  satisfying (\*). By Lemma 4.2  $\{H_{U_x}, K_{U_x}\}$  has a disjoint open expansion in  $U_x \times \omega_1$ .

We now show that  $U_x$  is a neighborhood of  $x$  in  $X$ . There exists  $\alpha < \omega_1$  such that  $\{x\} \times [\alpha, \rightarrow)$  is disjoint from either  $H$  or  $K$ , say  $H$ . Then there exists a convex open neighborhood  $C$  of  $x$  in  $X$  such that  $H \cap (C \times [\alpha, \rightarrow)) = \emptyset$ . To see this let  $\{C_n : n < \omega\}$  be a decreasing open neighborhood base of  $x$ , and suppose there exists  $\langle c_n, \alpha_n \rangle \in H \cap (C_n \times [\alpha, \rightarrow))$  for each  $n$ . Then  $\langle x, \alpha' \rangle \in \overline{\{\langle c_n, \alpha_n \rangle : n < \omega\}} \subset H$  for some  $\alpha' \geq \alpha$ . This is a contradiction. So there exist  $p, q \in C$  such that  $p \leq x \leq q$  and  $[p, q]$  is a neighborhood of  $x$ . Now  $H \cap ([p, q] \times [\alpha, \rightarrow)) = \emptyset$ , and  $[p, q] \times [0, \alpha]$  is normal. So  $\{H_{[p, q]}, K_{[p, q]}\}$  has a disjoint open expansion in  $[p, q] \times \omega_1$ . Hence  $[p, q] \subset U_x$ , and so  $U_x$  is a neighborhood of  $x$ .

Now we show  $U_x$  is clopen in  $X$ . Let  $y \in \bar{U}_x$ . Then  $U_x \cap U_y \neq \emptyset$ , and so  $U_x \cup U_y$  is convex and satisfies (\*). Hence  $U_y \subset U_x$  (in fact  $U_y = U_x$ ), and so  $U_x$  is open.

(Now unfix  $x$ .) Choose  $M \subset X$  such that  $U_x \neq U_{x'}$ , for all distinct  $x, x' \in M$ , and  $X = \bigcup \{U_x : x \in M\}$ . Hence  $\{U_x : x \in M\}$  is a clopen partition of  $X$ , and  $\{H_{U_x}, K_{U_x}\}$  can be separated in  $U_x \times \omega_1$  for each  $x \in M$ . So  $\{H, K\}$  has a disjoint open expansion in  $X \times \omega_1$ . Hence  $X \times \omega_1$  is normal.  $\square$

## 5. Pol and Reed spaces

Let  $D(\omega_1)$  be the space of all ordinals less than  $\omega_1$  with the discrete topology. Let  $X = D(\omega_1)^\omega$  with Pol's topology, i.e. the topology on  $X$  is generated by the

metric topology together with sets of the form  $X_\alpha = \{\ell \in X : \sup \ell \leq \alpha\}$  for every  $\alpha < \omega_1$ . Then  $X$  is a locally second countable (hence  $t(X) \leq \omega$ ), perfectly normal, collectionwise normal, nonparacompact space [10]. We show the following:

**Proposition 5.1.**  $X \times \omega_1$  is normal.

**Proof.** Let  $H_0$  and  $H_1$  be disjoint closed subsets of  $X \times \omega_1$ . For  $\sigma \in \omega_1^n$ , let  $[\sigma] = \{\ell \in X : \ell|n = \sigma\}$ , where  $\ell|n$  is the restriction of  $\ell$  to  $n$ . Let  $H_i^\sigma = H_i \cap ([\sigma] \times \omega_1)$ , for  $i < 2$ . Let  $\pi : X \times \omega_1 \rightarrow \omega_1$  be the projection.

**Claim 1.** Let  $O = \bigcup \{[\sigma] : \pi(H_i^\sigma) \text{ is bounded in } \omega_1 \text{ for some } i < 2\}$ . Then the set

$$S = \{\alpha \in \omega_1 : \text{there is } \ell \text{ in } X - O \text{ with } \sup \ell = \alpha\}$$

is nonstationary in  $\omega_1$ .

**Proof of Claim 1.** Suppose  $S$  is stationary. For each  $\alpha \in S$ , pick  $\ell_\alpha \in X - O$  with  $\sup \ell_\alpha = \alpha$ . Since either  $(\ell_\alpha, \alpha) \notin H_0$  or  $(\ell_\alpha, \alpha) \notin H_1$ , there exist  $i_\alpha < 2$ ,  $\beta(\alpha) < \alpha$ , and a neighborhood  $N_\alpha = [\ell_\alpha|n_\alpha] \cap X_\alpha$  of  $\ell_\alpha$  such that

$$(N_\alpha \times (\beta(\alpha), \alpha]) \cap H_{i_\alpha} = \emptyset.$$

By the pressing-down lemma there exist  $i_0 < 2$ ,  $\beta < \omega_1$ ,  $n < \omega$ ,  $\sigma \in \omega_1^n$ , and a stationary set  $S' \subset S$  such that  $i_\alpha = i_0$ ,  $\beta(\alpha) = \beta$ ,  $n_\alpha = n$ , and  $\ell_\alpha|n_\alpha = \sigma$  for all  $\alpha \in S'$ . Then it is easy to check that

$$([\sigma] \times (\beta, \omega_1)) \cap H_{i_0} = \emptyset,$$

so  $\pi(H_{i_0}^\sigma)$  is bounded. Then  $\ell_\alpha \in [\sigma] \subset O$  for any  $\alpha \in S'$ . This is a contradiction.

**Claim 2.** The sets  $\{H_i \cap (O \times \omega_1)\}$ ,  $i < 2$ , can be separated by disjoint open sets.

**Proof of Claim 2.** There is a disjoint cover of  $O$  by clopen sets  $[\sigma]$  such that  $\pi(H_{i_\sigma}^\sigma)$  is bounded, where  $i_\sigma < 2$ . So it suffices to show that  $H_0^\sigma$  and  $H_1^\sigma$  can be separated for such  $\sigma$ . Let  $\pi(H_{i_\sigma}^\sigma) \subset [0, \alpha]$ . By the normality of  $[\sigma] \times [0, \alpha]$ , there is an open set  $U \subset [\sigma] \times [0, \alpha]$  such that  $H_{i_\sigma}^\sigma \subset U$  and

$$(\text{cl}_{[\sigma] \times [0, \alpha]} U) \cap (H_{|i_\sigma-1|}^\sigma \cap ([\sigma] \times [0, \alpha])) = \emptyset.$$

But  $\text{cl}_{[\sigma] \times [0, \alpha]} U = \bar{U}$ , so  $\bar{U} \cap H_{|i_\sigma-1|}^\sigma = \emptyset$ , and so  $H_0^\sigma$  and  $H_1^\sigma$  can be separated. This proves Claim 2.

Let  $C$  be a closed unbounded subset of  $\omega_1$  such that  $C \cap S = \emptyset$ , where  $S$  is as in Claim 1. For  $\alpha \in C$ , let  $\alpha' = \sup(\alpha \cap C)$ , and let

$$F_\alpha = \{\ell : \alpha' \leq \sup \ell \leq \alpha\} - O.$$

It is easy to check that  $\{F_\alpha : \alpha \in C\}$  is a closed discrete collection of closed separable metrizable subsets of  $X$ . By collectionwise normality of  $X$ , there exists a discrete open expansion  $\{G_\alpha : \alpha \in C\}$  of  $\{F_\alpha : \alpha \in C\}$ . Let  $G = \bigcup \{G_\alpha : \alpha \in C\}$ . Note that  $X = G \cup O$ .

**Claim 3.**  $\{H_i \cap (G \times \omega_1) : i < 2\}$  can be separated.

**Proof of Claim 3.** It suffices to show that  $\{H_i \cap (\bar{G}_\alpha \times \omega_1) : i < 2\}$  can be separated in  $\bar{G}_\alpha \times \omega_1$  for each  $\alpha \in C$ . We have  $\bar{G}_\alpha \cap (X - O) = F_\alpha \subset X_\alpha$ , and  $X_\alpha$  is closed in  $X$ . By Theorem 3.3 the restriction of  $H_0$  and  $H_1$  to  $X_\alpha \times \omega_1$  can be separated, and the restriction of  $H_0$  and  $H_1$  to  $(G_\alpha - X_\alpha) \times \omega_1$  can be separated by Claim 2. Claim 3 easily follows.

To complete the proof of the proposition, let  $\{O', G'\}$  be a shrinking of  $\{O, G\}$ , i.e.,  $\bar{O}' \subset O$ ,  $\bar{G}' \subset G$  and  $O' \cup G' = X$ . Let  $\{O_0, O_1\}$  and  $\{G_0, G_1\}$  be separation of  $\{H_0 \cap (O \times \omega_1), H_1 \cap (O \times \omega_1)\}$  and  $\{H_0 \cap (G \times \omega_1), H_1 \cap (G \times \omega_1)\}$ , respectively. Let  $O'_0 = O_0 \cap (O' \times \omega_1)$ ,  $G'_0 = G_0 \cap (G' \times \omega_1)$ . It is easy to check that

$$H_0 \subset O'_0 \cup G'_0 \quad \text{and} \quad (\bar{O}'_0 \cup \bar{G}'_0) \cap H_1 = \emptyset.$$

The proof of Proposition 5.1 is completed.  $\square$

Let  $X = \{x_\alpha : \alpha < \omega_1\}$  be a set of reals. We say that  $X$  is a Reed space if the topology on  $X$  is the intersection topology with respect to the inherited real line topology on  $X$  and the order topology on  $X$  of type  $\omega_1$ . Assuming the continuum hypothesis, Reed [7] constructed a collectionwise normal Reed space. Also by Theorem 2 in [7] and Theorem 1 in [5], in a model of  $\text{MA} + \neg \text{CH}$  there is no normal Reed space.

**Proposition 5.2.** *Let  $X$  be a normal Reed space. Then  $X \times \omega_1$  is normal.*

**Proof.** By Kunen's lemma [5], there exists a closed unbounded set  $C$  of  $X$  such that  $C$  is  $\omega_1$ -compact. Let  $\{H_\alpha : \alpha < \omega_1\}$  and  $\{K_\alpha : \alpha < \omega_1\}$  be disjoint  $\omega_1$ -continuous closed collections. Let  $H^\alpha = \bigcup \{H_\beta : \beta \geq \alpha\}$  and  $K^\alpha = \bigcup \{K_\beta : \beta \geq \alpha\}$ . Then by Lemma 3.1, there exists an  $\alpha < \omega_1$  such that  $(H^\alpha \cap C) \cap (K^\alpha \cap C) = \emptyset$ . First we show that there exist disjoint open sets  $U$  and  $V$  in  $X$  such that  $H^\alpha \cap C \subset U$ ,  $K^\alpha \cap C \subset V$ ,  $U \cap K^\alpha = \emptyset$  and  $V \cap H^\alpha = \emptyset$ . Let  $U', V'$  be open sets in  $X$  such that  $H^\alpha \cap C \subset U' \subset \bar{U}'$ ,  $K^\alpha \cap C \subset V' \subset \bar{V}'$ ,  $\bar{U}' \cap \bar{V}' = \emptyset$ . Then  $\bar{U}' \cap K^\alpha$  and  $H^\alpha \cap C$  are disjoint closed sets. Let  $U \subset U'$  be an open set such that

$$H^\alpha \cap C \subset U \subset \bar{U} \subset X - (\bar{U}' \cap K^\alpha).$$

Then  $U$  is as required. Similarly we can get an open set  $V$  disjoint from  $U$ . Since  $[(H^\alpha \cup K^\alpha) - (U \cup V)] \cap C = \emptyset$ , we can choose an open set  $W$  in  $X$  such that

$$(H^\alpha \cup K^\alpha) - (U \cup V) \subset W \subset \bar{W} \subset X - C.$$

Then  $W$  is metrizable (note that  $W$  has a  $\sigma$ -discrete base), and  $(H^\alpha \cup K^\alpha) - (U \cup V)$  is a closed subset of  $W$ . Hence, by the introduction of Section 3 and Theorem 2.2, the  $(\omega_1 - (\alpha + 1))$ -continuous closed collections  $\{H_\beta - (U \cup V) : \alpha < \beta < \omega_1\}$  and  $\{K_\beta - (U \cup V) : \alpha < \beta < \omega_1\}$  have disjoint open expansions  $\{O_\beta : \alpha < \beta < \omega_1\}$ ,  $\{P_\beta : \alpha < \beta < \omega_1\}$  with  $(\omega_1 - (\alpha + 1))$ -continuous complements. Let  $U_\beta = U \cup O_\beta$ ,

$V_\beta = V \cup P_\beta$  for  $\beta > \alpha$ . On the other hand,  $\{H_\beta : \beta < \alpha\}$  and  $\{K_\beta : \beta < \alpha\}$  have disjoint open expansions  $\{U_\beta : \beta < \alpha\}$ ,  $\{V_\beta : \beta < \alpha\}$  with  $\alpha$ -continuous complements by Lemma 3.2. Then  $\{U_\beta : \beta < \omega_1\}$ ,  $\{V_\beta : \beta < \omega_1\}$  are disjoint open expansions of  $\{H_\beta : \beta < \omega_1\}$ ,  $\{K_\beta : \beta < \omega_1\}$ , respectively.  $\square$

By combining the above proposition and Theorem 2.3, we have the following:

**Corollary 5.3.** *Every normal Reed space is collectionwise normal.*

**Problem 5.4.** Let  $t(X) \leq \omega$ . Let  $X \times \omega_1$  be normal and every increasing open cover of  $X$  have a closed shrinking. Then does every open cover of size  $\omega_1$  have a closed shrinking?

**Problem 5.5.** Let  $t(X) \leq \omega$ . If every open cover of  $X$  has a closed shrinking, then is  $X \times \omega_1$  normal?

**Problem. 5.6.** Let  $X$  be a perfectly normal collectionwise normal space with  $t(X) \leq \omega$ . Then is  $X \times \omega_1$  normal?

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